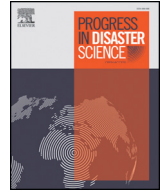




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## 1 Invited ViewPoint

## Q1 Information technologies and disaster management – Benefits and issues -

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## 7 A R T I C L E I N F O

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## Q5 1. Introduction – technology progress and disaster management

45 Information is crucial for effective disaster management. Social media  
 46 could be used as new information sources for disaster relief agencies. It  
 47 enhances situational awareness as well as two-way communication [1<sup>\*\*\*</sup>].  
 48 Tim, Pan [1] report that during Hurricane Sandy in 2012, around  
 49 800,000 photos were posted with the hashtag, #Sandy on Instagram.  
 50 These photos also showed their geographical locations. In the Nepal earth-  
 51 quakes in 2015, Digital Humanitarian, [2] which formed a digital volunteer  
 52 community, developed various digital tools for disaster management [3].  
 53 More than 3000 volunteers contributed to create a map and 1500 reports  
 54 were released showing affected areas and the number of victims on the

map. The information was used extensively by the American Red Cross 55  
 and the Nepali government in delivering relief operations. 56

While these new information technologies represented by social media 57  
 have changed the way that relief organizations collect situational informa- 58  
 tion [4], there are scarce discussions about how those organizations should 59  
 implement these technologies with certain strategies. When discussing the 60  
 implementation of information technologies with Japanese local govern- 61  
 ment, we have found there is no holistic strategy that indicates “who” 62  
 should use “which” technology for “what” reason. A general disaster 63  
 management plan defines a chain of command in the time of a disaster 64  
 [5]. The national government recommends developing an ICT (Information 65  
 and Communications Technology)-Business Continuity Plan (ICT-BCP) 66  
 which guides government officials how to continue ICT facilities after 67  
 a disaster [6]. This plan is not enough for officials to understand how 68  
 to use information technologies and for what reasons, when responding 69  
 to an unexpected disaster event. 70

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71 In this paper we discuss the benefits and issues Japanese local govern-  
72 ment face in using information technologies for effective disaster  
73 management.

## 74 2. Application of technologies to the field

75 We follow the traditional four stages of disaster management, risk  
76 reduction, preparedness, response and recovery [7], and illustrate how  
77 information technologies can be used in each stage.

78 When describing the way information technologies are implemented,  
79 we regard the essential roles of information systems as follows; information  
80 record, information exchange, and information process [8]. After a brief  
81 description of technology implementation cases in each stage, we summa-  
82 rize which role the information system offers.

### 83 2.1. Risk reduction

84 Monitoring technology for buildings [9], unmanned aerial vehicles (UAV)  
85 [10<sup>\*\*</sup>] and sensor network systems [11] help local authorities reduce disaster  
86 risk. A sensor network system has been deployed in a major bridge and road  
87 infrastructure. It reports on vulnerability of infrastructure by monitoring  
88 degradation and endurance. Moreover, the sensor can detect water-level  
89 rise in a river. These systems enable a local authority to improve prediction  
90 of river flooding and real-time situational analysis. While sensor data requires  
91 frequent observation, using open satellite image is increasingly popular in  
92 monitoring land use and change [12]. Recorded information would be  
93 useful when integrating hazard assessments into disaster planning [13].

94 Information systems support information *record* in disaster risk reduc-  
95 tion. Recent development of AI technologies would enable disaster  
96 managers to analyze those recorded data and create an alert. An AI platform  
97 which can detect water rise from social media posts has already been  
98 developed [14].

### 99 2.2. Preparedness

100 Information collected by sensor network systems can strengthen  
101 community-based disaster preparedness [15] as people learn about vulnera-  
102 ble areas. Information technologies provide an opportunity for scenario  
103 simulation by living-lab style [16], and support field exercises [17] prior  
104 to a real disaster. One example is a Virtual Reality (VR) training system.  
105 This system teaches people how to survive a disaster [18<sup>\*</sup>]. Users can learn  
106 what a disaster situation looks like visually and how to evacuate from the  
107 office building or schools in an indoor situation. The system could show  
108 how difficult avoiding smoke during evacuation is, and how people panic  
109 under a disaster situation. The VR technology has been adopted by hospitals  
110 [19]. It supports emergency medical training.

111 Messenger applications as well as an online dashboards help citizens  
112 report their situation and requests. Specific algorithms can detect  
113 predefined critical information and categorize it into specific areas and  
114 topics [20]. These technologies can be used in a field disaster exercise.  
115 Information systems also can create a knowledge repository based on the  
116 past disaster experiences [21].

117 Information systems support information *exchange* in disaster  
118 preparedness.

### 119 2.3. Response

120 In the same way, information systems play an essential role for informa-  
121 tion *exchange* in the initial response. Once a disaster occurs, local govern-  
122 ments need to conduct the following operations [22<sup>\*</sup>] in Japan:

- 123 (1) confirming the whereabouts and safety of residents,
- 124 (2) establishing and operating evacuation centers,
- 125 (3) transporting and managing relief goods,
- 126 (4) supporting evacuees and creating evacuee lists, and,
- 127 (5) issuing disaster victim certificates.

128 These operations are quite different from daily-basis tasks. It requires  
129 situational information that can be enhanced through social media to deliver  
130 these operations [23]. Information systems enhances situational awareness  
131 [24–26] and decision making [27]. As discussed previously, social media  
132 has changed the way citizens react to a disaster. Victims can report situations  
133 around them through social media. Among all 1741 local governments in  
134 Japan, 941 out of them (54%) were using social networking services (SNS)  
135 for disaster response in 2017.<sup>1</sup> 919 out of 941 organizations only use SNS  
136 for information sharing, while 22 local governments collect situational infor-  
137 mation from SNS. It is not easy for them to have enough human resources to  
138 extract relevant information out of SNS [28]. Indeed, during the Great East  
139 Japan Earthquake in 2011, an ambulance was called in vain, due to a false  
140 tweet that a person was injured. There was also false information that a  
141 lion had escaped from a zoo in Kumamoto during the 2016 Kumamoto  
142 Earthquake.<sup>2</sup> Such false information would lead to public sectors responding  
143 unnecessarily. Critical thinking was suggested to protect one from such false  
144 SNS messages [29]. DISAster-information ANALyzer (DISA ANA) was imple-  
145 mented to make the SNS information more trustworthy [30]. From another  
146 perspective, huge numbers of volunteers got together and developed a  
147 map that showed situational reports from residents which was called  
148 “shinsai.info”.<sup>3</sup> Human resources are not enough within a local government.  
149 After the 2011 Earthquake, “shinsai.info” has been elaborated into a “code  
150 for Japan”. They started dispatching IT professionals to local governments.  
151 This may solve an issue on recruiting IT resources in local governments at  
152 the time of emergency. 153

### 154 2.4. Recovery

155 After an initial response, a local government is in charge of supporting  
156 residents to get back to their normal life. A series of natural disasters  
157 in 2018 show the importance of evacuation centers operation and  
158 management.<sup>4</sup> Recognizing necessary resources for evacuees, and managing  
159 relief goods, are essential. Information systems can be used for coordinating  
160 available resources [31]. However, no information systems were employed  
161 for connecting supply side and local authorities. As an example of managing  
162 evacuation center operations, Sahana [32] is an information sharing system  
163 for humanitarian assistance during disasters and was developed originally  
164 by programmers in Sri Lanka just after the 2004 Indian Ocean earthquake  
165 and tsunami. The system is based on a free and open software and has been  
166 used extensively during disasters such as the 2010 Earthquake in Haiti [33].  
167 Sahana was introduced to the Japanese open source community in 2010.  
168 After March 11th, 2011, the Sahana Japan Team (SJT) was set up and  
169 those industrial volunteers developed the system for Iwate with the help  
170 from SJT [34]. The system was ready eventually, at the end of May, but it  
171 was late, as most of the evacuation centers were about to be closed by July  
172 and the residents were to move to temporary housing. It would have been  
173 more useful if the system had been provided much earlier in March or  
174 April. Even so, the system was used experimentally in some cities [35]. 174

175 Another essential operation is the issuing of disaster victim certificates.  
176 An information system for processing disaster victim certificates was  
177 available during the west Japan flooding of 2018. However, as it did not  
178 connect to other systems for disaster recovery (i.e., evacuation center  
179 management), so victim data was not shared.

180 In the line of situational awareness, a “Reconstruction Watcher” that  
181 streamed videos and pictures of areas affected by the Great East Japan  
182 Earthquake 2011 [36] was developed [37]. Social media empowers local

<sup>1</sup> Last access on December 11th, 2018 at [https://www.kantei.go.jp/jp/singi/it2/senmon\\_bunka/pdf/h2911SNSkatuyou\\_chousa.pdf](https://www.kantei.go.jp/jp/singi/it2/senmon_bunka/pdf/h2911SNSkatuyou_chousa.pdf)

<sup>2</sup> Japan Times, 21 July 2016. “Man arrested for posting false tweet claiming lion on the loose after Kumamoto quake,” <https://japantoday.com/category/crime/man-arrested-for-posting-false-tweet-claiming-lion-on-the-loose-after-kumamoto-quake>

<sup>3</sup> Last access on January 16th, 2019 at <https://tech.nikkeibp.co.jp/it/article/COLUMN/20110811/365024/>

<sup>4</sup> More than 400 people evacuated even 3 months after west Japan flooding. Nikkei, October 5th, 2018 at <https://www.nikkei.com/article/DGXZM036182580V01C18A0AC8Z00/>

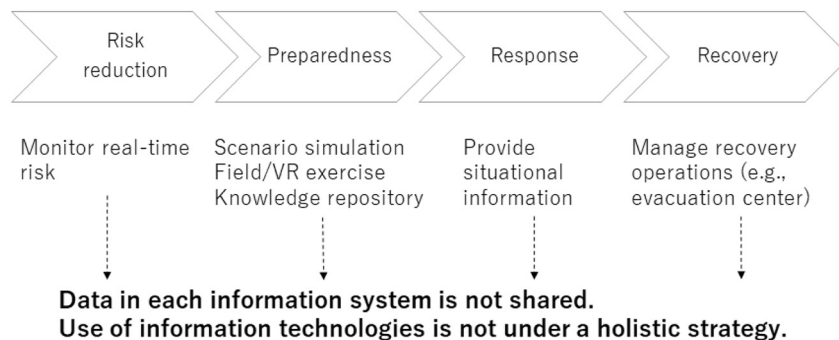


Fig. 1. Role of information systems in different disaster management stage.

183 communities by enabling interactive communication [38] and enhances  
184 collaboration with disaster relief agencies [39].

185 Information systems are supposed to *process* information for disaster re-  
186 covery but integration of data in different systems is an issue to be resolved.

### 187 3. Discussion

188 Information systems help people record, exchange, and process disaster-  
189 related information throughout four disaster management stages (Fig. 1).  
190 As we illustrated in the previous section, discussions of information tech-  
191 nology use are fragmented and no holistic view or strategy exist throughout  
192 different stages.

193 In risk reduction, sensors, open satellite images and UAVs help local  
194 governments record real-time situation of land, rivers and critical infrastruc-  
195 ture. Information from these tools would show vulnerability and risk. In the  
196 preparedness phase, the main activity for local authorities is a disaster field  
197 exercise. Online dashboards and SNS are used as communication means for  
198 exchanging information during the exercise. A VR training system provides  
199 people with simulated experience [18]. Once a disaster occurs, gaining  
200 situational information becomes essential. Citizen-generated information  
201 through social media and open digital tools such as Open Street Map could  
202 increase situation awareness yet managing these tools and information  
203 remain as issues to be addressed.

204 Data that is generated in the previous stages is essential to recovery  
205 operations. However, the design of information systems may well be carried  
206 out independently in every stage. The combination of different systems  
207 would work well, in sorting out issues in the field [40<sup>\*</sup>]. For instance, when  
208 operating an evacuation center, the following operations are required:

- 209 a) to keep track of the statistics such as the number of victims as well  
210 as vulnerable people to a disaster, which includes the injured, the  
211 disabled, elderly, pregnant women, children and the others who need  
212 assistance, and provide them with necessary care including medicines  
213 and medical support  
214 b) to keep track of necessary foods and goods and provide the victims with  
215 them  
216

217 During the Great East Japan Earthquake in 2011, the above operations  
218 were needed, not only for victims at evacuation centers, but also for the  
219 people who stayed in their own houses around the evacuation centers.<sup>5</sup>  
220 Accordingly, it was necessary to get the information on where those victims  
221 who needed the support were staying.

222 Now that we have tools such SNS, one could keep track of the victims  
223 staying at their own houses around the evacuation centers by mining the  
224 SNS messages with the Global Positioning System (GPS). During the  
225 Nepal earthquake of 2015, information about needs and availability of  
226 resources was posted [41]. Online neighborhood-based forums were  
227 formed in the 2007 California mountain fire [42].

In the same vein, risk information in the preparedness phase could be con- 228  
nected to real-time information collected in the response stage. We assume 229  
this enhances situational awareness and community resilience [43]. Local 230  
governments can create a specific real-time alert that is useful for people 231  
living in a highly vulnerable area, by combining risk and real-time informa- 232  
tion in the initial response. In another context, we assume that UAVs can pro- 233  
vide a holistic view for situational awareness throughout different disaster 234  
stages. In doing so, we need a holistic strategy of information technology 235  
use and standards for data sharing among different systems or different stake- 236  
holders [44]. This has not been considered in Japanese local governments. 237

### 238 4. Conclusion

239 In this paper, we briefly review the use of information technology under  
240 a disaster. From local government's perspective, essential roles of informa-  
241 tion systems, i.e., information record, exchange and process, are critical in  
242 effective disaster management. Information record and exchange are initial  
243 functions of information systems prior to a disaster, while information  
244 process and exchange become core to disaster relief operations. Currently  
245 we do not see integrated discussion of technology use in each disaster  
246 phase. Those discussions are divided into "before" and "after" a disaster.

247 We argue 1) the necessity of a strategy for effective use of information  
248 technology throughout the four disaster management stages, and 2) the neces-  
249 sity of data standards among different information systems and stakeholders.

250 The more IT-enabled disaster responses and risk reduction progress, the  
251 more IT resources within local government are required. They face difficulties  
252 in managing digital tools and information. Collaboration with external insti-  
253 tutions and IT professionals is essential. In addition, developing a holistic IT  
254 strategy including how to manage IT resources is necessary. It requires a  
255 long-term perspective whereas disaster management at each stage deals  
256 with a wicked, short-term problem [45,46]. Noticing such a dilemma in prac-  
257 tice, we believe the study of disaster management and information technolo-  
258 gies enhances effective disaster management for local governments, which  
259 are in the front line of disaster preparedness and response.

### 260 Conflict of interest

261 We declare that there are any conflicts of interest regarding the submitted  
262 paper.

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266 field interviews and collected social media postings after the Thai flooding in 2011.  
267 Based on the boundary object perspective, the paer reveals roles of social media as com-  
268 pendium of information, channel of intercommunication, and catalyst of immersion.  
269

\* Of special interest.

\*\* Of outstanding interest.

<sup>5</sup> Last access on January 29th, 2019 at

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